

3725

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
5453.00009

In Re Application Of: **Leward Nile Smith**

JUL 14 2003

Serial No.  
**09/970,060**

Filing Date

**October 3, 2001**

Examiner

Group Art Unit  
**3725**

Title: **MULTI-FUNCTIONAL TOOL ASSEMBLY FOR PROCESSING TOOL OF WASTE PROCESSING  
MACHINE**

Address to:

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p).

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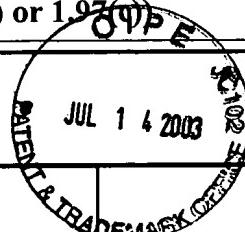
**JUL 17 2003**

**TECHNOLOGY CENTER R3700**

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**MULTI-FUNCTIONAL TOOL ASSEMBLY FOR PROCESSING TOOL OF WASTE PROCESSING  
MACHINE**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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 The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_  
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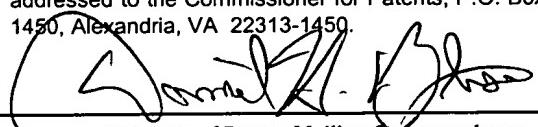
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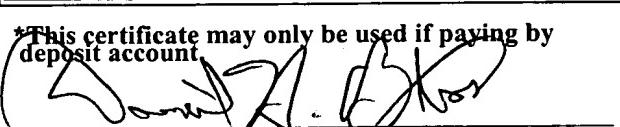
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Daniel H. Bliss

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\*This certificate may only be used if paying by deposit account.

  
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Signature

Dated: JULY 9, 2003

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